



## Lawyers Professional Liability Application

**NOTICE: THIS IS AN APPLICATION FOR A CLAIMS-MADE AND REPORTED POLICY, WHICH SUBJECT TO ITS PROVISIONS APPLIES ONLY TO CLAIMS WHICH ARE BOTH FIRST MADE AGAINST THE INSURED AND REPORTED TO THE INSURER DURING THE POLICY PERIOD OR ANY EXTENDED REPORTING PERIOD, IF APPLICABLE. CLAIM EXPENSES ARE INCLUDED WITHIN THE LIMIT OF LIABILITY. THE INFORMATION CONTAINED AND STATEMENTS MADE WITHIN THIS APPLICATION ARE INCORPORATED INTO, AND WILL FORM THE BASIS OF, ANY POLICY OF INSURANCE ISSUED BY THE INSURER. THE APPLICANT AND ALL SIGNORS OF THIS APPLICATION WARRANT THAT THE INFORMATION CONVEYED IS TRUE AND CORRECT. THE LIMIT OF LIABILITY AVAILABLE TO PAY SETTLEMENTS OR JUDGMENTS WILL BE REDUCED, AND MAY BE EXHAUSTED, BY CLAIM EXPENSES.**

Please fully answer all questions and submit requested information. Bold-faced terms are defined in the Policy and have the same meaning in this **Application**. Any information provided, whether physically attached or available on the Applicant's web site, shall be deemed incorporated into this **Application**.

**A. General Information**

1. **Named Insured:** \_\_\_\_\_  
**Address:** \_\_\_\_\_  
**City:** \_\_\_\_\_ **County:** \_\_\_\_\_ **State:** \_\_\_\_\_ **Zip Code:** \_\_\_\_\_  
**Mailing:** \_\_\_\_\_  
**Website:** \_\_\_\_\_ **Telephone No.** \_\_\_\_\_  
**Date of Formation:** \_\_\_\_ / \_\_\_\_ / \_\_\_\_ **Please attach a copy of your firm's letterhead.**

2. a. Limits Requested:  \$100,000/\$300,000  \$250,000/\$250,000  \$250,000/\$500,000  
 \$500,000/\$500,000  \$500,000/\$1,000,000  \$1,000,000/\$1,000,000  \$2,000,000/\$2,000,000  
 \$3,000,000/\$3,000,000  \$4,000,000/\$4,000,000  \$5,000,000/\$5,000,000  Other: \_\_\_\_\_

**\*Minimum allowable limits for defense within limits is \$1,000,000/\$1,000,000 [Applicable in AR, OK, & SD]**

**Expenses in addition to Limits:**  Interested in quotation  Currently have

b. Deductible Requested:  \$1,000  \$2,000  \$2,500  \$3,000  \$4,000  \$5,000  
 \$10,000  \$15,000  \$20,000  \$25,000  \$35,000  \$50,000  Other: \_\_\_\_\_

Annual Aggregate Deductible:  Interested in quotation  Currently have

Deductible Applies to Damages Only:  Interested in quotation  Currently have

3. Effective Date desired: \_\_\_\_ / \_\_\_\_ / \_\_\_\_ Retroactive Date on current policy?  Yes  No. -> If yes: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

4. Total number of attorneys this year: \_\_\_\_\_ *If more than 10, attach additional sheets as necessary.*

Attorney Name	Position (see key)	Admitted to Bar - State M / Y	Joined Named Insured M / D / Y	Annual Hours Worked (OC, IC or PT only)	Attended Ethics/ Loss Prevention CLE in past 12 months
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					

Partner/Owner/Member (P), Employed Attorney (E), Of Counsel (OC), Independent Contractor (IC), Part-Time Attorney (PT)

5. a. Number of attorneys who joined or left the Named Insured with the past two years: \_\_\_\_\_ Joined \_\_\_\_\_ Left  
 b. Number of non-attorney Staff currently employed by the Firm: \_\_\_\_\_
6. Gross Billings of the **Named Insured** by Year: Current Year (estimated) \$ \_\_\_\_\_ Last Year \$ \_\_\_\_\_
7. Please complete the following chart for your 5 (five) largest clients based either on your  gross revenue or  billable hours (check one).

Name	Industry	Area of Legal Services for Client	% of Firm's Revenue Derived from Client	No. of Years Represented

8. Does the **Named Insured** practice from Additional Locations?  Yes  No  
 If yes, please provide details on the last page of this **Application**, including the address of such locations, the name of the Partner in charge, the % of the **Named Insured's** total gross revenues from such location, the # of attorneys and staff at each location, and how such offices are controlled.

**B. Firm Practice Profile**

9. Indicate the percentages of overall billings generated by the following areas of practice:
- |                                                                           |                                                                                           |
|---------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|
| _____ Administrative                                                      | _____ Foreign                                                                             |
| _____ Admiralty - Defense                                                 | _____ Health Care                                                                         |
| _____ Admiralty - Plaintiff                                               | _____ Immigration/Naturalization                                                          |
| _____ Anti-trust/Trade Regulation                                         | _____ Insurance Coverage                                                                  |
| _____ Appellate                                                           | _____ Insurance Defense                                                                   |
| _____ Arbitration/Mediation                                               | _____ Investment Counseling/Money Management                                              |
| _____ Aviation                                                            | _____ Labor Law - Management                                                              |
| _____ <b>Banking/Financial Institutions*</b> (Fin. Institutions Supp)     | _____ Labor Law – Union                                                                   |
| _____ Bankruptcy                                                          | _____ Labor Litigation - Defense                                                          |
| _____ BI/PI Defendant General Liability                                   | _____ Labor Litigation - Plaintiff                                                        |
| _____ BI/PI Defendant Medical Malpractice                                 | _____ Litigation – General – Defense                                                      |
| _____ BI/PI Defendant Other                                               | _____ <b>Litigation – General – Plaintiff*</b> (Plaintiff Supplement)                     |
| _____ BI/PI Defendant Products Liability                                  | _____ Mergers & Acquisitions                                                              |
| _____ <b>BI/PI Plaintiffs General Liability*</b> (Plaintiff Supplement)   | _____ Municipal/Governmental - Other                                                      |
| _____ <b>BI/PI Plaintiffs Medical Malpractice*</b> (Plaintiff Supplement) | _____ Municipal/Governmental - Zoning                                                     |
| _____ <b>BI/PI Plaintiffs Other*</b> (Plaintiff Supplement)               | _____ <b>Oil/Gas/Minerals*</b> (Oil/Gas/Minerals Supplement)                              |
| _____ <b>BI/PI Plaintiffs Product Liability*</b> (Plaintiff Supplement)   | _____ <b>Patent*</b> (Intellectual Property Supplement)                                   |
| _____ Civil Rights/Discrimination                                         | _____ <b>Probate/Wills/Estates* - Assets &lt;1M</b><br>(Probate/Wills/Estates Supplement) |
| _____ <b>Class Action/Mass Tort-Defense*</b> (Class Action Supplement)    | _____ <b>Probate/Wills/Estates* - Assets 1M-5M</b><br>(Probate/Wills/Estates Supplement)  |
| _____ <b>Class Action/Mass Tort-Plaintiff*</b> (Class Action Supplement)  | _____ <b>Probate/Wills/Estates* – Assets &gt;5M</b><br>(Probate/Wills/Estates Supplement) |
| _____ <b>Collection/Repossession*</b> (Collections Supplement)            | _____ Public Utilities                                                                    |
| _____ Commercial Law                                                      | _____ <b>Real Estate – Commercial*</b> (Real Estate Supplement)                           |
| _____ Communication/FCC                                                   | _____ <b>Real Estate – Escrow Agent*</b> (Real Estate Supplement)                         |
| _____ Construction/Building Contracts                                     | _____ <b>Real Estate – Foreclosure*</b> (Real Estate Supplement)                          |
| _____ Consumer Claims                                                     | _____ <b>Real Estate – Residential*</b> (Real Estate Supplement)                          |
| _____ <b>Copyright/Trademark*</b> (Intellectual Property Supplement)      | _____ <b>Real Estate – Synd./Development*</b> (Real Estate Supplement)                    |
| _____ Corporate-General                                                   | _____ <b>Real Estate – Title Work*</b> (Real Estate Supplement)                           |
| _____ Corporate Formation                                                 | _____ School Law                                                                          |
| _____ Criminal                                                            | _____ <b>Securities/Bonds/Loans*</b> (Securities Supplement)                              |
| _____ Domestic Relations                                                  | _____ Social Security Law                                                                 |
| _____ Eminent Domain                                                      | _____ Taxation – Corporate – Opinions                                                     |
| _____ Employee Benefits/ERISA                                             | _____ Taxation – Corporate – Preparation                                                  |
| _____ <b>Entertainment/Sports*</b> (Entertainment Supplement)             | _____ Taxation – Individual                                                               |
| _____ <b>Environmental*</b> (Environmental Supplement)                    | _____ Water Rights Law                                                                    |
| _____ Environmental Lit-Defense                                           | _____ Workers Comp - Defense                                                              |
| _____ <b>Environmental Lit-Plaintiff*</b> (Plaintiff Supplement)          | _____ Workers Comp - Plaintiff                                                            |
|                                                                           | _____ Other (Please describe on last page of <b>Application</b> )                         |

What percentage of overall billings are generated from Defense-related areas of practice: \_\_\_\_\_%

**C. Firm Insurance History**

10. For the past five years, state:

Carrier Name	# of Attys	Limits	Deductible	Effective Dates	Premium

11. Has the **Named Insured** or any **Predecessor Firm** ever had a gap in coverage?  Yes  No  
*If yes, please provide a detailed narrative on the last page of this Application.*

12. a. Is coverage desired for any **Predecessor Firm**?  Yes  No  
*If yes, please complete the Predecessor Firm chart on the last page of this Application.*

b. List the earliest date from which the **Named Insured** (including **Predecessor Firms**) has had uninterrupted "claims made" coverage: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

13. a. Any exclusions on the current policy that were specifically tailored for the **Named Insured**?  Yes  No  
 b. Has the **Named Insured** or **Predecessor Firm** purchased any extended reporting (tail) coverage?  Yes  No

*If yes to either a. or b. above, provide details:* \_\_\_\_\_

c. Has any attorney in the **Named Insured** obtained any extended reporting (tail) coverage during the last seven years?  Yes  No  
*If yes, explain the details for each such attorney, identifying the carrier and the effective dates of such coverage on the last page of this Application.*

**D. Internal Procedures**

**14. Conflicts of Interest**

a. Do you have procedures in place for identifying conflicts of interest?  Yes  No  
 b. Are conflict checks performed before accepting any new cases/matters?  Yes  No  
 c. If a potential or actual conflict exists, is written disclosure made to all parties involved?  Yes  No  
 d. How do you maintain your conflicts of interest avoidance system? *(Please check all that are applicable).*

Computer  Index File  Conflict Committee  Oral/Memory  Other \_\_\_\_\_

e. How often is the conflict of interest system updated?  Daily  Weekly  Other \_\_\_\_\_

**15. Docket Control**

a. Which of the following are used in your docket control system? *(Please check all that are applicable).*  
 Single Calendar  Dual Calendar  Master Listings  Tickler  Computer  
 b. How frequently are deadlines cross-checked?  Daily  Weekly  Other \_\_\_\_\_  
 c. Are at least two individuals involved in maintaining the docket control system?  Yes  No

**16. Engagement Letters**

Indicate percentage of use. If not used by the **Named Insured**, indicate 0%. All blanks should be answered.

a. Engagement letters on new cases/matters to the **Named Insured** \_\_\_\_\_%  
 If used:  
 - Do they clearly define who is being represented?  Yes  No  
 - Do they define the specific services to be performed?  Yes  No  
 - Do they describe billing rate and procedures?  Yes  No

b. Declination or "non-engagement" letters on new cases/matters that will not be undertaken \_\_\_\_\_%

c. Scope of service/engagement letters on new cases/matters for existing clients \_\_\_\_\_%

d. Termination or disengagement letters when representation on existing cases/matters ends \_\_\_\_\_%

17. If you are a sole proprietor, have you made arrangements with another attorney to handle your cases/matters when you are on an extended absence from your practice?  Yes  No  N/A  
*If yes, please list name and address:* \_\_\_\_\_

18. Does the **Named Insured** share office space, letterhead or support staff with another firm?  Yes  No  
*If yes, please provide details on the last page of this Application.*

**E. Firm Clients, Billing and Collection**

19. In the past three years, how many times has the **Named Insured** sued, entered into arbitration, or sent outstanding client bills to a collection agency in order to collect fees? \_\_\_\_\_

*If more than 2 times,*

- a. What is the average fee suit amount? \_\_\_\_\_
- b. Have steps been taken to avoid a possible counter suit?  Yes  No
- c. Have steps been taken to prevent fee suits in the future?  Yes  No
- d. Briefly explain these steps \_\_\_\_\_

20. What percentage of Firm receivables are currently over 90 days old? \_\_\_\_\_ 180 days old? \_\_\_\_\_

21. Please estimate the number of hours of Pro Bono legal work provided by the firm during the past 12 months. \_\_\_\_\_

#### F. Attorney Profiles

**NOTE: Answer the following questions only after making a reasonable and thorough inquiry of all attorneys in the Firm:**

22. In the past five years, has any current or former attorney of the **Named Insured**:
- a. been refused admission to practice, or the subject of a bar complaint or disciplinary action?  Yes  No
  - b. been declined, cancelled or non-renewed for professional liability insurance coverage?  
**(NOT APPLICABLE IN MISSOURI)**  Yes  No
  - c. provided professional services other than legal services?  Yes  No
  - d. suffered from an impairment that might hinder their ability to provide competent, courteous and timely **Professional Services**?  Yes  No

*If yes to any of the above, provide an explanation for each such attorney at the end of this Application.*

23. In the past five years, has any current or former attorney of the **Named Insured**:
- a. handled any class action or mass tort litigation on behalf of the **Named Insured** or other firm?  Yes  No
  - b. provided **Professional Services** in any way related to a security or securities transactions?  Yes  No
  - c. provided **Professional Services** to, or served as a fiduciary, committee member, director, officer, partner or employee, of any Financial Institution?  Yes  No

*If yes to any of the above, complete the Class Action, Securities, and/or Financial Institutions Supplements, as applicable.*

24. Does any attorney in the **Named Insured** (including any such attorney's spouse):
- a. serve as an officer, director, partner, committee-member or employee of any outside entity?  Yes  No
  - b. hold any ownership or equity interest in any clients of the **Named Insured**?  Yes  No

*If yes to any of the above, complete the Outside Interests chart on the last page of this Application.*

#### G. Claims Experience

25. After inquiry, has the **Named Insured** or any attorneys to be insured under this policy:
- a. been the subject of a professional liability claim or suit, or entered a tolling agreement with a client with respect to a threatened professional liability claim, in the last five (5) years (or earlier if the claim is still open)?  Yes  No
  - b. have knowledge or information of any fact, circumstance or actual or alleged act, error or omission which may reasonably be expected to give rise to a professional liability claim(s) under the proposed policy?  Yes  No

*If yes to any of the above, complete the Claim Supplement.*

**It is understood and agreed that, without limiting any rights of the underwriter, if such knowledge or information exists, any claim arising therefrom is excluded from this proposed insurance.**

#### H. Warranty

The undersigned authorized owner, partner, director, or officer represents and warrants on behalf of the **Named Insured** and all persons/entities for whom insurance is being sought that to the best of his/her knowledge and belief after diligent inquiry, the statements set forth herein and attached hereto are true. It is understood that the statements in this Application, including material submitted to or obtained by the underwriter, are material to the acceptance of the risk, and relied upon by the underwriter. The Insureds further agree that in the event of any material misrepresentation or omission in the Application, including materials submitted to or obtained by the underwriter, this Policy shall be void. The undersigned authorized owner, partner, director or officer of the applicant declares that the statements set forth herein are true. The undersigned agrees that if the information supplied on this Application changes between the date of this Application and the effective date of the insurance, that he/she will immediately notify the Insurer of such changes, and the Insurer may withdraw or modify any outstanding quotations or authorizations or agreements to bind the insurance


Signing this **Application** does not bind the applicant or the **Insurer** to complete the insurance, but it is agreed that this **Application** shall be the basis of the contract should a policy be issued, and it will be attached to and become part of the Policy.

SIGNED: \_\_\_\_\_ DATE: \_\_\_\_\_

PRINTED NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_

**Applicable in FL, IA and NH:** In addition to the signature above, please complete the following:

Producer: \_\_\_\_\_

Producer Signature  \_\_\_\_\_ Date \_\_\_\_\_

**(Florida Only) License No:** \_\_\_\_\_

### **Fraud Prevention – General Warning**

**NOTICE:** Any person who knowingly, or knowingly assist another, files an application for insurance or claim containing any false, incomplete or misleading information for the purpose of defrauding or attempting to defraud an Insurance Company may be guilty of a crime and may be subject to criminal and civil penalties and loss of insurance benefits.

**Attention: Insureds in AL**

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or who knowingly presents false information in an application for insurance is guilty of a crime and may be subject to restitution, fines, or confinement in prison, or any combination thereof.

**Attention: Insureds in AR**

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**Attention: Insureds in CO**

It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable for insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

**Attention: Insureds in DC**

**WARNING:** It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

**Attention: Insureds in FL**

Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony of the third degree.

**Attention: Insureds in KS**

A person who commits a fraudulent insurance act is guilty of a crime and may be subject to restitution, fines and confinement in prison. A fraudulent insurance act means an act committed by any person who, knowingly and with the intent to defraud, presents, causes to be presented or prepares with knowledge or belief that it will be presented to or by an insurer, purported insurer, broker or any agent thereof, any written, electronic, electronic impulse, facsimile, magnetic, oral or telephonic communication or statement as part of, or in support of, an application for insurance, or the rating of an insurance policy, or a claim for payment or other benefit under an insurance policy, which such person knows to contain materially false information concerning any fact material thereto; or conceals, for the purpose of misleading, information concerning any fact material thereto.

**Attention: Insureds in KY**

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

**Attention: Insureds in LA**

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**Attention: Insureds in MD**

Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**Attention: Insureds in ME**

It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines or denial of insurance benefits.

**NOTICE: Insureds in MN**

A person who files a claim with intent to defraud or helps commit a fraud against an insurer is guilty of a crime.

**Attention: Insureds in NJ**

Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties. Any person who knowingly files a statement of claim containing any false or misleading information is subject to criminal and civil penalties.

**Attention: Insureds in NM**

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties.

**Attention: Insureds in OH**

Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

**Attention: Insureds in OK**

WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

**Attention: Insureds in OR**

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents materially false information in an application for insurance may be guilty of a crime and may be subject to fines and confinement in prison. In order for us to deny a claim on the basis of misstatements, misrepresentations, omissions or concealments on your part, we must show that:

- A. The misinformation is material to the content of the policy;
- B. We relied upon the misinformation; and
- C. The information was either:
  - 1. Material to the risk assumed by us; or
  - 2. Provided fraudulently.

For remedies other than the denial of a claim, misstatements, misrepresentations, omissions or concealments on your part must either be fraudulent or material to our interests.

With regard to fire insurance, in order to trigger the right to remedy, material misrepresentations must be willful or intentional. Misstatements, misrepresentations, omissions or concealments on your part are not fraudulent unless they are made with the intent to knowingly defraud.

**Attention: Insureds in PA**

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

**Attention: Insureds in RI**

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**Attention: Insureds in TN, VA, and WA**

It is a crime to knowingly provide false, incomplete, or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines, and denial of insurance benefits.

**Attention: Insureds in VT**

Any person who knowingly presents a false statement in an application for insurance may be guilty of a criminal offense and subject to penalties under state law.

## **Application Addendum**

**Applicable in AK, FL, KS, KY, ME, MT, NC, OK, OR, SD, WV:** As may be used within this application, the word "warrant"/"Warranty" is replaced with the word "represents"/"representation".

**Applicable in Florida:** The following statement is added and supersedes any conflicting statement in the application:

**H. Representation**

The undersigned authorized owner, partner, director, or officer represents on behalf of the Named Insured and all persons/entities for whom insurance is being sought that to the best of his/her knowledge and belief after diligent inquiry, the statements set forth herein and attached hereto are true. It is understood that the statements in this Application, including material submitted to or obtained by the underwriter, are material to the acceptance of the risk, and relied upon by the underwriter. The Insureds further agree that in the event of any material misrepresentation or omission in the Application, including materials submitted to or obtained by the underwriter, this Policy may be void pursuant to Section 627.409, Florida Statutes. The undersigned authorized owner, partner, director or officer of the applicant declares that the statements set forth herein are true. The undersigned agrees that if the information supplied on this Application changes between the date of this Application and the effective date of the insurance, that he/she will immediately notify the Insurer of such changes, and the Insurer may withdraw or modify any outstanding quotations or authorizations or agreements to bind the insurance.

**Applicable in Georgia:** As may be used within this application, the word "warrant" is replaced with the word "certify". The following statement is added and supersedes any conflicting statement in the application:

**H. Warranty**

The undersigned authorized owner, partner, director, or officer represents and warrants on behalf of the Named Insured and all persons/entities for whom insurance is being sought that to the best of his/her knowledge and belief after diligent inquiry, the statements set forth herein and attached hereto are true. It is understood that the statements in this Application, including material submitted to or obtained by the underwriter, are material to the acceptance of the risk, and relied upon by the underwriter. The Insureds further agree that in the event of any material misrepresentation or omission in the Application, including materials submitted to or obtained by the underwriter, coverage may be denied and this Policy may be canceled. The undersigned authorized owner, partner, director or officer of the applicant declares that the statements set forth herein are true. The undersigned agrees that if the information supplied on this Application changes between the date of this Application and the effective date of the insurance, that he/she will immediately notify the Insurer of such changes, and the Insurer may withdraw or modify any outstanding quotations or authorizations or agreements to bind the insurance.

**Applicable in Kansas and South Dakota:** The following statement is added and supersedes any conflicting statement in the application:

**H. Warranty**

The undersigned authorized owner, partner, director, or officer represents on behalf of the Named Insured and all persons/entities for whom insurance is being sought that to the best of his/her knowledge and belief after diligent inquiry, the statements set forth herein and attached hereto are true. It is understood that the statements in this Application, including material submitted to or obtained by the underwriter, are material to the acceptance of the risk, and relied upon by the underwriter. The Insureds further agree that in the event of any material misrepresentation or omission in the Application, including materials submitted to or obtained by the underwriter, this Policy shall be canceled. The undersigned authorized owner, partner, director or officer of the applicant declares that the statements set forth herein are true. The undersigned agrees that if the information supplied on this Application changes between the date of this Application and the effective date of the insurance, that he/she will immediately notify the Insurer of such changes, and the Insurer may withdraw or modify any outstanding quotations or authorizations or agreements to bind the insurance.

**Applicable in Louisiana and Washington:** The following statement is added and supersedes any conflicting statement in the application:

**H. Warranty**

The undersigned authorized owner, partner, director, or officer represents and warrants on behalf of the Named Insured and all persons/entities for whom insurance is being sought that to the best of his/her knowledge and belief after diligent inquiry, the statements set forth herein and attached hereto are true. It is understood that the statements in this Application, including material submitted to or obtained by the underwriter, are material to the acceptance of the risk, and relied upon by the underwriter. The Insureds further agree that in the event of any material misrepresentation or omission made by the Insured with the intent to deceive in the Application, including materials submitted to or obtained by the underwriter, this Policy shall be void. The undersigned authorized owner, partner, director or officer of the applicant declares that the statements set forth herein are true. The undersigned agrees that if the information supplied on this Application changes between the date of this Application and the effective date of the insurance, that he/she will immediately notify the Insurer of such changes, and the Insurer may withdraw or modify any outstanding quotations or authorizations or agreements to bind the insurance.

**Applicable in Maine:** The following statement is added and supersedes any conflicting statement in the application:

**H. Warranty**

The undersigned authorized owner, partner, director, or officer represents and warrants on behalf of the Named Insured and all persons/entities for whom insurance is being sought that to the best of his/her knowledge and belief after diligent inquiry, the statements set forth herein and attached hereto are true. It is understood that the statements in this Application, including material submitted to or obtained by the underwriter, are material to the acceptance of the risk, and relied upon by the underwriter. The Insureds further agree that in the event of any material misrepresentation or omission in the Application, including materials submitted to or obtained by the underwriter, this Policy may be canceled. The undersigned authorized owner, partner, director or officer of the applicant declares that the statements set forth herein are true. The undersigned agrees

that if the information supplied on this Application changes between the date of this Application and the effective date of the insurance, that he/she will immediately notify the Insurer of such changes, and the Insurer may withdraw or modify any outstanding quotations.

**Applicable in NJ:** The provision stating “**CLAIM EXPENSES ARE INCLUDED WITHIN THE LIMIT OF LIABILITY**” in the Notice at the top of this application is replaced with the words “**CLAIM EXPENSES MAY BE INCLUDED WITHIN THE LIMIT OF LIABILITY**”.

**Predecessor Firms** (please attach additional sheets as necessary)

Name of Predecessor Firm	Date Established	Date Dissolved	Total Number of Principals, Owners, Officers and Partners at Dissolution	Number of Principals, Owners, Officers and Partners Who Joined Successor	More than 50% of Assets Assumed by Successor (Y/N)?

**Outside Interests** (please attach additional sheets as necessary)

Attorney	Name of Entity	% Ownership	Type of Business	Position Held by Attorney	Firm Client (Y/N)?	If Yes, % of Firm's Gross Billings	Separate D&O Insurance (Y/N)?

**Supplemental Information**

**Instructions:** Use this form to provide additional information or request descriptions or explanations necessary to provide a true and complete response to all questions, statements or requests for information contained in the **Application**. Please identify the number of each question or statement on the **Application** to which your responses relate. If necessary, make additional copies of this form. Attach additional sheets if necessary. Please sign all forms in the **Application**.


SIGNED: \_\_\_\_\_ DATE: \_\_\_\_\_

PRINTED NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_